

# Regulatory Affairs Certification (EU)

## CANDIDATE GUIDE



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# WELCOME

## Introduction

Congratulations on your decision to pursue Regulatory Affairs Certification (RAC). We commend your commitment to your career and the regulatory profession.

This guide contains information about:

- RAC examination eligibility requirements
- Guidelines for submitting an examination application
- Preparing for the examination
- How to schedule your examination and what to expect at the testing center
- What to expect after the examination

Regulatory Affairs Certification (RAC), is the only post-academic professional credential for regulatory professionals in the healthcare product sector. It is intended for individuals employed in regulatory agencies, industry, consultancies and other settings involved with the regulation of healthcare products. The RAC is a professional credential that denotes commitment to excellence, pursuit of knowledge and career advancement. Success on the RAC examinations requires knowledge of the appropriate regulations and the ability to think critically about the regulatory issues and challenges that occur throughout the healthcare product lifecycle.

**RAC-credentialed professionals are among the current and rising leaders in the regulatory profession. To date, more than 8,000 individuals have earned the RAC, some holding multiple credentials.**

## Value of the RAC

The RAC demonstrates to employers, clients and colleagues that a regulatory professional has the essential knowledge, skills, critical thinking abilities and commitment to advancing professional knowledge and abilities. As the demand for competent regulatory professionals increases globally, RAC-credentialed professionals are well positioned to be effective team members and contributors in every work setting. Recognition of the RAC continues to grow around the world and RAC-credentialed professionals earn higher salaries than those who do not hold the credential.\*

**In RAPS' 2018 *Scope of Practice & Compensation* survey for the Regulatory Profession, RAC holders in North America reported earning an average of 18% more than their counterparts who do not hold the credential.\***

\*Based on data from the 2018 RAPS *Scope of Practice & Compensation Survey of the Regulatory Profession*

## About Certification

The primary purpose of any professional certification program is to provide an independent assessment of the knowledge, skills and/or competencies required for competent performance of a professional role. This assessment is typically accomplished by the successful completion of an examination.<sup>1</sup>

<sup>1</sup> Defining Features of Quality Certification and Assessment-Based Certificate Programs. (2010) The Institute for Credentialing Excellence.

## Eligibility Requirements

To be eligible for the RAC examination you need to meet one of the following educational and professional experience requirement combinations:



*\*Regulatory-related experience may include quality assurance, quality control, clinical research related to the approval of health products or health product project management.*

## Your Journey



## Nondiscrimination Policy

The RAC program does not discriminate among candidates on the basis of age, gender, race, religion, national origin, disability, sexual orientation or marital status.

# PREPARING FOR THE EXAM

## Preparing for the Examination

The RAC examinations are challenging so it is important to develop a study plan to prepare for the examination. Your plan should be based on your knowledge, experience and preferred approach to learning. Here are some things to consider:

Review the examination content outline (see p. 16). The examination content outline contains the content domains, competency statements and number of questions in each domain. Examination questions are based on guidelines and regulations effective 31 July of the prior year.

Assess the scope and depth of your knowledge and experience. Use the test content outline as a checklist and evaluate your areas of strength and weakness. This will help focus your study on the areas you need most.

Build and implement your plan. Allow sufficient time to build your knowledge base in areas where you have limited experience and to expand your knowledge in areas more familiar to you. Use reference materials to supplement your knowledge.

## Question Types

The examinations consist of 100 multiple-choice questions, answered in a two-hour time limit. There are three question types which may be used in the RAC Exams.

Recall	Application	Analysis
Recall questions ask for specific information, typically about regulations and guidance that are important aspects of the regulatory process. These questions may relate to any stage of product development and may relate to regulations specific for product types.	Application questions require relating specific knowledge to a situation that may be encountered in the scope of practice of a regulatory professional.	Analysis questions may be described as a small case or example requiring the candidate to read and assemble information in order to identify and evaluate various solutions.

## Computer-Based Testing

The RAC examinations are computer-based and administered only at testing centers selected and confirmed by the testing vendor. RAC examinations will not be stored or accessible through the internet and will not be offered at facilities that are not pre-selected and qualified for security measures.

## RAC Examination Overview

Each examination is based on a survey of the scope of practice and specific roles and responsibilities of regulatory professionals in the workplace with at least three years of regulatory experience. Each examination is reviewed and revised annually and content is updated for the October/November exam cycle. Examination content for RAC exam is based on regulations and guidelines in

<p>Knowledge required and regulatory basis</p>	<div data-bbox="646 302 1520 533" style="text-align: center;">  <p><b>RAC (EU)</b></p> </div> <ul style="list-style-type: none"> <li>• Thorough knowledge of regulatory functions throughout product lifecycle for medical devices, IVDs, medicinal products (e.g., pharmaceuticals, biologics)</li> <li>• European regulations and guidances from the European Commission, EMA, competent authorities</li> <li>• Critical thinking and analytical skills</li> </ul>
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Check the RAPS website at [RAPS.org/rac/prepare](https://RAPS.org/rac/prepare) for additional resources that may be helpful to you. Some resources are free of charge and others are available for purchase. Resources are not required to be RAPS produced.

# APPLYING FOR THE EXAMINATION

## RAC Application Process

You may apply for the RAC online or submit the printable application form available on the RAC exam schedules webpage.

## Testing Windows and Application Deadlines

Application Deadline	Testing Window
21 March 2019	22 April–31 May 2019
24 September 2019	21 October–30 November 2019

Applications and payment must be received by 11:59 pm (US Eastern Time) on the application deadline dates listed above. Applications received after the deadline will not be processed.

### Examination Fees

- RAPS Member \$465 (US)
- List \$585 (US)

The RAPS member rate applies only if you are a member at the time you submit your application. If you apply for RAPS membership prior to submitting an RAC application, please be sure you receive confirmation of your RAPS membership before you submit your RAC application. If you apply for RAPS membership after you submit an RAC application, RAPS will not refund the difference.

Get information about RAPS membership online at [RAPS.org/join-raps](https://RAPS.org/join-raps).

## General Application Instructions

Include your name on the application as it appears on your government-issued photo identification (ID). If your name on your application does not match the government id, you will not be allowed to sit for the exam at the testing venue.

Provide an email address. All communications about your RAC examination, including information about scheduling your examination and examination results, are electronic. Please contact the RAC program office at [certification@raps.org](mailto:certification@raps.org) if there is any change in your email address after you have submitted your application.

Complete the RAC examination application fully. As part of the application process, you must attest that you have met the eligibility requirements, have read and agree to abide by the policies outlined in this Candidate Guide, and have read and accept the Code of Ethics for Regulatory Professionals. Incomplete applications will delay processing and may cause your application to be rejected if not completed by the application deadline.

## Submitting Payment

The correct payment must accompany your application. You may submit your application and payment in the following ways:

Payment type	Application submission method
Credit card	Apply online, by fax or postal mail to: Regulatory Affairs Professionals Society Attn: RAC Program Office 5635 Fishers Lane Suite 550 Rockville, MD 20852 USA
Check or money order	Postal mail only to: Regulatory Affairs Professionals Society RAC 5635 Fishers Lane, Suite 550 Rockville, MD 20852 USA
International wire transfer	Fax a completed application form and copy of bank wire confirmation to confirm your application to: RAPS Account #1000043228997; ABA #061000104 Swift Code SNTRUS3A; Suntrust Bank, Richmond, VA. Must reference name of candidate. All bank charges are the responsibility of the payer. For all wire payments: fax (+1 301 770 2924) or email ( <a href="mailto:certification@raps.org">certification@raps.org</a> ) a completed form and copy of bank wire confirmation to confirm your registration.

## Application Receipt Confirmation

You will receive an email notification thanking you for your order, which signifies that your application has been received. If there are any questions about your application or your application is selected for audit, you will be contacted by the RAC program office.

## Application Audit

RAPS may audit a percentage of applications for completeness and accuracy. If your application is selected for audit, you will receive email notification of the audit, what types of documentation must be submitted and the deadline for submission of requested documentation. If you do not comply with the terms of the audit by the stated deadline, you will not be allowed to take the test and your examination fee will be refunded minus a \$100 processing fee.

## Incomplete Applications

If your application is incomplete, you will be notified by the RAC program office, and the application deficiencies must be corrected by the application deadline. Failure to submit required information prior to the deadline will lead to rejection of your application and you will be issued a refund of the examination fee, minus a \$100 processing fee.

## Application Rejection

Applications for RAC examinations will be rejected for failure to meet eligibility requirements or falsification of application information. Rejected applicants will be refunded the examination fee, minus a \$100 processing fee.

## Application Withdrawal/Cancellation and Refunds

An application may only be withdrawn or cancelled before the application deadline. Requests to withdraw or cancel an application will not be accepted after this deadline. To withdraw or cancel an RAC application, you must submit a written request to the RAC program office at [certification@raps.org](mailto:certification@raps.org). There is a \$100 processing fee for withdrawn or cancelled applications.



## Transferring to Another Testing Cycle

A request to transfer to the next testing cycle may be made without charge before the application deadline. Requests to transfer to the next testing cycle after the application deadline but before the end of the testing window will incur a transfer fee of \$250. Transfers will be allowed up to 10 days after the end of the testing window. After this period transfers will not be allowed and applicants will be required to pay a new application fee at full price. For individuals who require a transfer due to an unavoidable emergency please see the 'Emergency Situations' section.

## Appeals Process

Candidates have the right to appeal any adverse decision made by the RAC program office. An appeal must be submitted in writing not more than 30 days following the date of notification of the adverse decision. Appeals should be sent to the RAC program office at [certification@raps.org](mailto:certification@raps.org) or via US mail to RAPS headquarters. All appeals will be addressed by the RACB. Decisions about appeals made by the RACB will be final.

# EXAMINATION SCHEDULING

## Scheduling Your Examination

You will schedule your examination online directly with RAPS' contracted testing vendor, Castle Worldwide. You will receive a "Notice to Schedule" email from Castle Worldwide approximately 15 days prior to the start of the testing cycle with instructions about how to schedule your examination. Please be sure that your email address can accept emails from [testing@castleworldwide.com](mailto:testing@castleworldwide.com). This email will contain a website link, unique login ID and password. You will use this site to schedule a testing center location, test date and time.

## Testing in the US and Canada

When scheduling your examination at a testing center in the US or Canada, once you log into the Castle Worldwide scheduling site, you will be shown the available dates and times at your selected testing center and you will select your preferred testing date and time. Once you submit your selection you will receive a confirmation email.

## International Testing

When scheduling your examination at a testing center outside of the US or Canada, you will select your preferred testing center location, date and time. You may submit up to three preferred international testing centers, dates and times. Once you have submitted your preferences, Castle Worldwide will send a confirmation email within five business days. Submission of your preferences does not confirm that you are scheduled to test on your selected date or time. Castle Worldwide cannot guarantee availability of any international testing center or date during the designated testing cycle. If none of your preferred selections are available, Castle Worldwide will contact you to offer an alternate date/location for approval. Upon approval, Castle Worldwide will issue you a confirmation email.

You should schedule your examination as soon as possible for the best chance of receiving your preferred testing date and location. You must submit your test scheduling request at least seven days prior to your preferred testing date. All examinations must be scheduled five days prior to the close of the testing cycle. Once you have scheduled your examination, you will receive a confirmation email from Castle Worldwide. You must bring a copy of the confirmation email with you to the testing center on test day.

Castle Worldwide reserves the right to cancel any testing site. In the event of a cancelled site, you will be notified by Castle Worldwide and instructed about how to reschedule your testing location and appointment without additional fees.

## Changing Your Examination Appointment Within the Same Testing Window

If you wish to change the date, time or location of a scheduled examination, you will need to go to the link on your appointment confirmation email. If you have difficulty rescheduling online, you can contact Castle Worldwide by telephone at +1 919 572 6880. A fee of \$50 (US) for each change is required, payable to Castle Worldwide. Changes may not be made less than five business days before your scheduled examination date. You may only reschedule to another date within the current testing cycle. If you wish to reschedule to another testing window, please see 'Transferring to Another Testing Cycle.'

## Emergency Situations

Under certain circumstances, as outlined below, the RAC program may, at its discretion, transfer an applicant's test to the next testing window and waive the transfer fee.

If an applicant cannot take the RAC exam for one of the following reasons:

- A documented, personal medical emergency
- A death in your immediate family
- Unexpected military deployment

The applicant may request to transfer to the next testing cycle. In such circumstances the applicant must contact the RAC program office no more than five days after the scheduled examination date (if a date has already been scheduled through the testing vendor).

A personal medical emergency means that the candidate has experienced a medical issue. Transfer requests are not applicable for medical issues affecting family members. To apply for a transfer waiver the appropriate documentation must be submitted to the RAC program office no later than 30 days of your scheduled examination date. Only one emergency transfer will be allowed and candidates will not be eligible for a refund following a transfer. Work-related emergencies do not qualify for this exception.

## Failure to Schedule an Examination or Appear for Your Examination Appointment

If you do not schedule an examination appointment or you fail to appear for your scheduled examination appointment, you will be considered a 'no-show' and will forfeit all examination fees. The following are situations in which you will be considered a 'no-show':

- Failure to schedule an examination appointment during the testing cycle
- Failure to appear at your scheduled appointment
- Arriving at the testing site more than 15 minutes after your scheduled appointment time
- Failure to have appropriate photo identification at the examination appointment

If you fail to schedule or appear, you may reapply to take an RAC examination in the future and will be required to pay full application fees.

## Special Accommodations for the Examination

Candidates who require special accommodations under the Americans with Disabilities Act (ADA) and ADA Amendments Act (ADAAA) should send the completed Special Accommodations Request Form with the Documentation of Disability-Related Needs form (see Appendices B and C) completed by a qualified professional, to the RAC program office at [certification@raps.org](mailto:certification@raps.org) or RAPS headquarters at the time of application. The request must indicate the nature of the disability and specific testing accommodations requested. Candidates will be notified in writing if their request is approved.

# ON EXAMINATION DAY

## What to Bring to the Testing Center

You should arrive at the testing center at least 30 minutes before your scheduled appointment for check in. You must bring a copy of the examination appointment confirmation email with you.

To be admitted to the testing center you must bring current, valid government-issued identification (ID). Your identification must include your name (in English characters or translation to compare with your RAC application information), photograph and signature.

Your identification must match your name exactly as it appears on the examination appointment confirmation notification provided by Castle Worldwide. If you do not provide appropriate and/or matching identification, you will not be permitted to take the examination and will forfeit all examination fees.

The following are acceptable forms of government-issued identification:

- driver's license
- military ID
- passport
- national identification card

## Items Prohibited at the Testing Center

Candidates are expressly prohibited from bringing the following items to the test center:

- cameras, cell phones, optical readers or other electronic devices that include the ability to photograph, photocopy or otherwise copy test materials
- notes, books, dictionaries or language dictionaries
- book bags, luggage, purses or handbags
- iPods, mp3 players, tablets, headphones or pagers
- calculators, computers, PDAs or other electronic devices with one or more memories
- personal writing utensils (i.e., pencils, pens and highlighters)
- watches
- food and beverages
- coats and jackets
- Hats, hoods or other headwear are not permitted in the examination room unless required for religious purposes. All items are subject to inspection by the proctor if suspicious behavior is detected.
- Please note that sweaters and sweatshirts without pockets or hoods are permitted.

You will be provided with:

1. paper and pencil or erasable noteboards and markers at the test center
2. have an abbreviations table

Please note that Castle Worldwide testing centers administer examinations for multiple organizations. Individuals in the testing room with you may be taking different examinations and have different rules for their examination including time and what is allowed at their testing station.

## Other Considerations

- Smoking is prohibited.
- You may not ask questions about examination content.
- All examinations are monitored and may be recorded in both audio and video format.
- No breaks are scheduled during the examination. If you need to leave the testing room to take a break, you will not be given extra time to finish the examination. You may be required to present your ID when returning to the testing room.

## Cancellation Due to Inclement Weather

In the event of bad weather, a natural disaster or other emergency, Castle Worldwide will post the information on their website. Candidates scheduled at a site operating on a delay will receive an email from Castle Worldwide. Should the site be closed entirely, Castle Worldwide will contact the candidates to reschedule.

## Examination Security and Confidentiality

The RAC examinations are the sole and exclusive property of the RAC program. These materials are confidential and not available for review by any person or organization other than the RACB and the examination committees. Copying, publishing or disclosing examination content in any form is considered a violation of the RAC Security and Confidentiality policy and will be subjected to disciplinary action which may include termination of a testing session, invalidation of test results and/or revocation of an RAC credential.

## Termination of Examination Administration/Dismissal From the Testing Center

You are expected to conduct yourself in a professional manner at all times at the testing center. The test center administrator or proctor is authorized to dismiss you from an examination and/or request that a test score be cancelled if you engage in any of the following:

- using or attempting to use someone else to take the examination
- using notes or other study materials during the testing process
- creating a disturbance. Disruptive behavior in any form is not tolerated. The test administrator has sole discretion to determine what constitutes disruptive behavior.
- communicating in any manner with anyone other than the administrator or proctor during the testing process
- leaving the testing room without permission
- tampering with a computer
- removing or attempting to remove any material from the testing room
- failing to follow any examination policies or requirement explained in this Candidate Guide

## Problems at the Testing Center

The RAC program and Castle Worldwide take steps to assure that the RAC examination process is effective. However, irregularities may sometimes occur. If you encounter technical difficulties during the examination, please contact the proctor immediately. If a delay exceeds 30 minutes, you may request to reschedule your examination to another date if you are unable to wait any longer.

# AFTER THE EXAMINATION

## Examination Scoring

RAC examinations are scored by Castle Worldwide after the close of the testing cycle. Examinations are not scored at testing centers. A statistical report of scoring is reviewed by a statistician and the examination committee to assure ongoing quality of the examinations.

All scores are reported on a scale of 0 to 99 with 75 being the passing score. The scaled score is neither the number of questions answered correctly nor the percent of questions answered incorrectly. You cannot look at the scaled score and determine the number of correctly answered questions needed to pass the examination.

## Notification of Examination Results

Examination results are typically available four to six weeks after the close of the testing cycle. The spring testing cycle closes on 31 May and the autumn testing cycle on 30 November. You will receive an email notification with your pass or fail results. Approximately four to eight weeks following the close of the testing cycle, you will receive a confirmation of your scaled score. Results are released only to candidates. No results will be reported over the telephone or by fax.

## RAC Recognition

A list of all active RAC-credentialed professionals is available online at [RAPS.org/RAC](http://RAPS.org/RAC). Newly credentialed professionals are added after all candidates are notified of their status. If you do not wish to be included in the online listing, please contact the RAC program office.

## Use of the RAC Designation

When you pass an RAC examination you may use the RAC designation after your name as a professional credential. You should list your RAC and specific RAC credentials on your resume, curriculum vitae, employment or other professional records. The RAC designation cannot be used by individuals who fail to maintain the RAC credential by meeting recertification requirements.

## Retaking the Examination

Candidates who do not pass the RAC examination are eligible to retake the examination. To apply for re-examination, candidates must submit a new application. There is no limit on the number of times a candidate can take an examination. Candidates can retake the exam as soon as the next testing window.

## Release of Information

The RAC program maintains strict procedures for ensuring the confidentiality of all candidate records. Information about candidates is released only to the candidates themselves. Scores are only released to the candidate and are not released by telephone or fax even to the candidate.

# RECERTIFY

## Maintaining Your RAC Credential

Continual learning, knowledge enhancement and professional development are vital to regulatory professionals. Once certified, you maintain your RAC through continued learning and involvement in professional activities. You are required to renew your RAC every three years by earning 36 RAC recertification credits. Credits may be accumulated in many ways, including participation in continuing education, public speaking on regulatory topics, professional writing and involvement with professional organizations.

Individuals who hold more than one RAC designation are only required to submit a single recertification application with a total of 36 credits. The recertification cycle is based on the initial RAC certification and the related recertification cycle. You can find detailed information about maintaining and renewing your RAC in the RAC Recertification Guide.

### Contact Information

Regulatory Affairs Professionals Society  
Attention: RAC Program Office  
5635 Fishers Lane, Suite 550  
Rockville, MD 20852  
USA  
Tel +1 301 770 2920, ext. 200  
Fax +1 301 770 2924  
Email: [certification@raps.org](mailto:certification@raps.org)

Castle Worldwide Inc.  
900 Perimeter Park Drive, Suite G  
Morrisville, NC 27560  
+1 919 572 6880  
email: [testing@castleworldwide.com](mailto:testing@castleworldwide.com)

# APPENDIX A: RAC (EU) DETAILED EXAMINATION CONTENT OUTLINE

The RAC (EU) examination is organized into three major domains, with associated tasks and responsibilities typically undertaken by a regulatory professional. The approximate distribution of types of questions for each domain is shown in the following outline. Questions on the examination will address the tasks and activities presented in this outline.

## Domain I: Strategic Planning

*Approximately 31 questions for a 100-question examination (percent of items on examination: 31%)*

### Regulatory Framework

- Determine the regulatory classification status in European Union markets where the product may be launched.
- Monitor and assess the regulatory environment in European Union markets where the product may be launched (including additional national requirements such as legal status) and initiate changes within the company.
- Investigate and communicate when it is necessary to request technical and scientific guidance from relevant organisations.
- Evaluate regulatory requirements for markets outside of the European Union that recognize EU requirements.
- Provide input to trade associations and standards organizations to influence the European regulatory environment (legislation, guidance documents and standards).
- Perform Competitor Surveillance (e.g., EPAR, SmPCs, claims, indications) to evaluate potential changes, issues and strategies.
- Contribute to in-house training programmes for company personnel to ensure regulatory and quality compliance.

### Regulatory Pathways and Options

- Advise management on requirements and options for regulatory submissions, approvals and conformity.
- Assessments (e.g., local, national, international options).
- Advise management on timelines, risks and financial implications of the proposed regulatory strategy.
- Investigate and communicate the availability of incentives (e.g., financial, data/market exclusivity) to support product development.
- Develop effective regulatory submission strategies for timely product approval.
- Advise colleagues on compliance with relevant regulatory legislation, guidelines, standards, etc.

### Health Economics

- Provide input to and advise senior management regarding Comparative Effectiveness/Health Technology Assessment.
- Advise senior management regarding pricing and reimbursement issues.

### Interaction With Other Companies

- Advise senior management during product or company acquisitions and collaborations.
- Conduct regulatory due diligence during acquisitions and collaborations.
- In- and out-licensing of products from/to a third party.



### **Crisis Management**

- Advise management regarding the regulatory impact of a crisis event (e.g., plant shut down) and propose a resolution strategy.
- Participate in the development and functioning of the crisis management program.

## **Domain II: Design and Development**

*Approximately 36 questions for a 100-question examination (percent of items on examination: 36%)*

### **Manufacturing/Quality (CMC)**

- Define and communicate regulatory requirements for the scope of manufacturing authorizations/quality system certifications (e.g., clinical trial supplies, operations allowed, pharmaceutical forms, design, manufacture, device types).
- Review completeness of production documentation to support CTA/MAA submissions/manufacturing transfer.
- Ensure that available stability data supports intended shelf-life of product.

### **Nonclinical and Clinical Development**

- Advise sponsor of regulatory requirements for clinical trials/investigations.
- Determine adequacy of nonclinical data and risk analysis to support approval to conduct clinical trials/investigations.
- Identify national/local requirements and prepare clinical trials/investigations submissions to CA/EC.
- Prepare or review study information such as IMPD/technical file/design dossier, label, clinical investigation plan/protocol, case report form, investigators brochure, patient information letter and informed consent to comply with local, regional, national, European and international regulatory requirements.
- Interact with and coordinate the use of CROs, subcontractors, test facilities and consultants.
- Respond to questions/comments from CA/EC.
- Ensure regulatory compliance of manufacture and release of investigational products for clinical use.
- Ensure that reporting procedures are in place to report adverse events that occur during clinical trials/investigations to CA/EC.
- Review clinical data from literature and other sources.
- Report trial/investigation results to CA/EC.
- Maintain authorization for on-going clinical trials/investigations (e.g., amendments, annual reports, updates).
- Evaluate need for and contribute to the development of Paediatric Investigation Plans/waivers/deferrals.

### **Preregistration/CE Marking Interfacing**

- Evaluate need for and coordinate Protocol Assistance/Scientific Advice Meetings with CAs.
- Liaise with project team to develop project plans including submission timelines, deliverables, etc.
- Liaise with marketing/project team to develop target product profile and determine the claims that can and cannot be made based on available data.
- Participate in audits/inspections by NBs/CAs and contribute to responses to audit findings as required.
- Evaluate and communicate relevant legislation and guidelines (e.g., EMA, scientific, harmonized standards and essential requirements).

### Registration/CE Marking

- Ensure adequate documentation to support MAA/Technical File/Design dossier.
  - Proof of concept/animal model
  - Nonclinical safety/biocompatibility
  - Clinical safety and performance/efficacy
  - Product quality/design verification and validation
  - Administrative data, forms, manufacturer authorizations/certifications, declarations and essential requirements checklists
  - Labeling/product information (including readability testing)
  - Risk Management Plan/File
  - Vigilance system
  - Postmarket surveillance plan
  - Environmental risk assessment
  - Compliance with other applicable directives (e.g., WEEE, ROHS, machinery, battery)
- Prepare MAA/Design Dossier/Technical File.
- Submit MAA (incl. eCTD requirements)/Design Dossier to CA/NB.
- Monitor /track submission progress (procedural timelines).
- Respond to questions/comments from CA/NB.
- Meet with CA/NB to negotiate during review process.
- Coordinate product information (i.e. label, carton, and leaflet/IFU) translations.
- Review approval documents/certificates.

### Domain III: Postapproval

*Approximately 33 questions for a 100-question examination (percent of Items on examination: 33%)*

#### Advertising/Promoting/Labeling

- Review and approve revised labeling and claims, public communications, press releases, advertising and promotional items for regulatory compliance
- Provide regulatory input to commercial and marketing strategies.
- Review and evaluate advertising, labeling and claims of competitors.
- Provide regulatory input to tender applications (e.g., overview of licensing status, product quality, product shelf life, declaration of conformance).
- Evaluate and communicate to management the implications of off-label use.

#### Postmarketing Surveillance and Vigilance

- Evaluate reports of product failures and complaints.
- Write field safety notices and report product failures and recalls /field safety corrective actions to CAs.
- Maintain vigilance and ensure postmarket surveillance activities are conducted.
- Ensure that Periodic Safety Update Reports (PSUR) are compiled and submitted to CAs according to regulatory timelines.
- Ensure regulatory compliance of postapproval clinical studies.
- Ensure implementation and monitor effectiveness of safety alerts, notifications, field safety corrective actions and recalls.
- Consider use of information gained in the postmarket phase for broadening product indications.

**Supply Chain**

- Ensure compliance with regulatory requirements for supply, handling, distribution, import and export of materials.
- Review regulatory aspects of supplier and distributor contracts (e.g., complaints, traceability, changes, etc.).

**Product-specific Crisis Management**

- Advise management regarding the regulatory impact of a crisis event (e.g., batch failure).
- Advise management on regulatory implications of proposed crisis resolution strategies.

**Postapproval Regulatory Compliance**

- Advise the Management Representative/Qualified Person regarding regulatory requirements.
- Ensure quality system SOPs meet regulatory requirements.
- Assess whether new or revised legislation, guidelines, monographs and harmonized standards affect certain functions, activities or products.
- Initiate, monitor, document and submit changes where applicable to ensure compliance with new or revised legislation, guidelines, monographs and harmonized standards.
- Review and monitor contractual obligations and agreements with NBs to ensure regulatory compliance.
- Negotiate wording of audit findings.

# APPENDIX B: SPECIAL ACCOMMODATIONS REQUEST

The Regulatory Affairs Certification Board (RACB) may provide accommodations to candidates with a disability as defined by the *Americans with Disabilities Act (ADA)*. Please review the RAC Candidate Guide before submitting this form to be sure you qualify for special accommodations.

## Please type or print

Name \_\_\_\_\_  
First Last MI

Address \_\_\_\_\_  
Street Mail Stop/Suite/Apt

\_\_\_\_\_ City State/Province Zip

Country \_\_\_\_\_

Phone (with area/country code) \_\_\_\_\_

Email address \_\_\_\_\_

For which of the following examinations are you requesting accommodations?

- RAC (US)
- RAC (EU)
- RAC (CAN)
- RAC (Global)
- RAC (Devices)
- RAC (Drugs)

Type of accommodation you are requesting \_\_\_\_\_

\_\_\_\_\_

Have you previously received accommodations in any educational or testing situation?

- Yes
- No

If yes, please describe the accommodations received \_\_\_\_\_

\_\_\_\_\_

I certify that the above information is true and accurate.

Signature \_\_\_\_\_ Date \_\_\_\_\_

# APPENDIX C: DOCUMENTATION OF DISABILITY-RELATED NEEDS

**To the Professional:** The individual identified below is requesting accommodations for the Regulatory Affairs Certification (RAC) examination. The Regulatory Affairs Professionals Society requires that candidates requesting testing accommodations provide documentation of the disability from a person qualified to assess the disability.

By completing and signing this form, you are verifying that the individual named below has been diagnosed with the stated disability and the recommended accommodation is required to fairly demonstrate the candidate's ability on the examination.

Candidate Name \_\_\_\_\_  
First Last MI

**Please include the following:**

1. Diagnosis (note: mental and emotional disabilities must include a diagnosis from the DSM-IV)

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2. Description of the candidate's disability and how the disability affects the candidate's major life activities (e.g., hearing, seeing, walking, talking, performing manual tasks)

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3. Recommended Accommodations:

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Signature \_\_\_\_\_ Date \_\_\_\_\_

Title \_\_\_\_\_



5635 Fishers Lane  
Suite 550  
Rockville, MD 20852  
USA

[RAPS.org/RAC](https://RAPS.org/RAC)